Today.

Polynomials.

Secret Sharing.

Secret Sharing.

Share secret among n people.

Secrecy: Any $k-1$ knows nothing.
Roubustness: Any k knows secret.
Efficient: minimize storage.

The idea of the day.

Two points make a line.
Lots of lines go through one point.

Polynomials

A **polynomial**

$$P(x) = a_d x^d + a_{d-1} x^{d-1} \cdots + a_0.$$

is specified by **coefficients** $a_d, \ldots a_0$.

$P(x)$ **contains** point (a, b) if $b = P(a)$.

Polynomials over reals: $a_1, \ldots, a_d \in \Re$, use $x \in \Re$.

Polynomials $P(x)$ **with arithmetic modulo** p: [1] $a_i \in \{0, \ldots, p-1\}$ and

$$P(x) = a_d x^d + a_{d-1} x^{d-1} \cdots + a_0 \pmod{p},$$

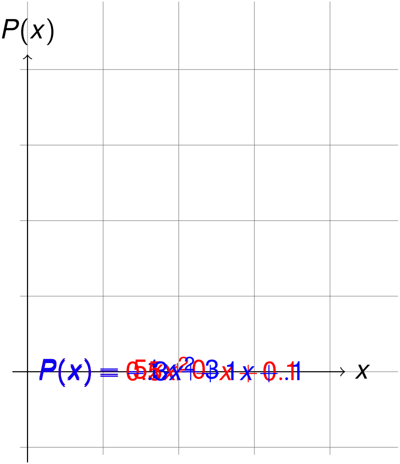
for $x \in \{0, \ldots, p-1\}$.

---

[1] A field is a set of elements with addition and multiplication operations, with inverses. $GF(p) = (\{0, \ldots, p-1\}, + \pmod{p}, * \pmod{p})$.

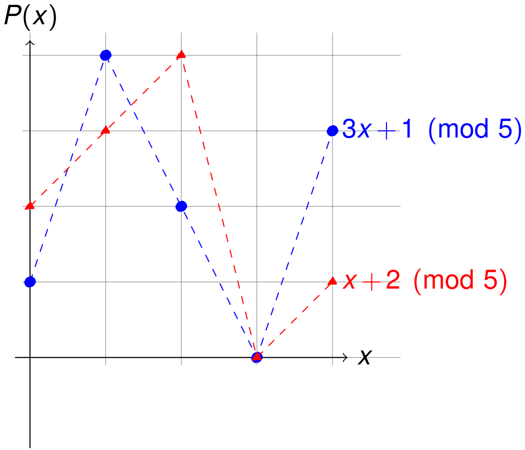
Polynomial: $P(x) = a_d x^4 + \cdots + a_0$

Line: $P(x) = a_1 x + a_0 = mx + b$



Parabola: $P(x) = a_2 x^2 + a_1 x + a_0 = ax^2 + bx + c$

Polynomial: $P(x) = a_d x^4 + \cdots + a_0 \pmod{p}$



Finding an intersection.

$x + 2 \equiv 3x + 1 \pmod 5$

$\implies 2x \equiv 1 \pmod 5 \implies x \equiv 3 \pmod 5$

3 is multiplicative inverse of 2 modulo 5.

Good when modulus is prime!!

Two points make a line.

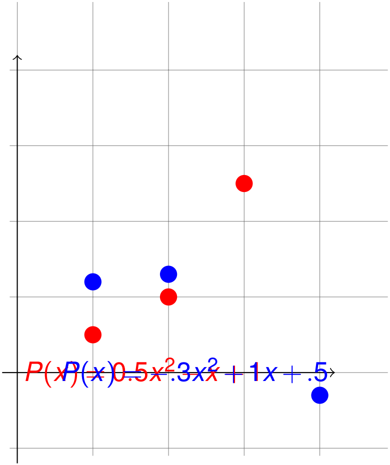
Fact: Exactly 1 degree $\leq d$ polynomial contains $d+1$ points. [2]

Two points specify a line. Three points specify a parabola.

Modular Arithmetic Fact: Exactly 1 degree $\leq d$ polynomial with arithmetic modulo prime p contains $d+1$ pts.

[2] Points with different x values.

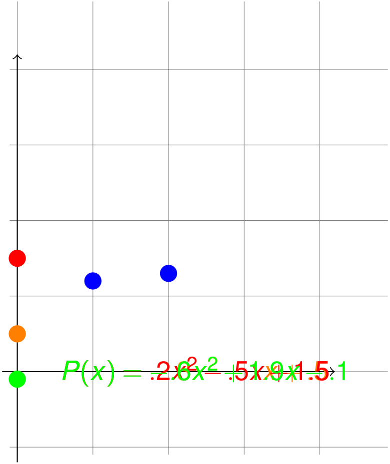
3 points determine a parabola.



Fact: Exactly 1 degree $\leq d$ polynomial contains $d+1$ points. [3]

[3] Points with different x values.

2 points not enough.



There is $P(x)$ contains blue points and *any* $(0, y)$!

Modular Arithmetic Fact and Secrets

Modular Arithmetic Fact: Exactly 1 degree $\leq d$ polynomial with arithmetic modulo prime p contains $d+1$ pts.

Shamir's k out of n Scheme:
Secret $s \in \{0, \ldots, p-1\}$

1. Choose $a_0 = s$, and random $a_1, \ldots, a_{k-1}$.
2. Let $P(x) = a_{k-1}x^{k-1} + a_{k-2}x^{k-2} + \cdots a_0$ with $a_0 = s$.
3. Share i is point $(i, P(i) \mod p)$.

Roubustness: Any k shares gives secret.
Knowing k pts $\implies$ only one $P(x)$ $\implies$ evaluate $P(0)$.
Secrecy: Any $k-1$ shares give nothing.
Knowing $\leq k-1$ pts $\implies$ any $P(0)$ is possible.

From $d+1$ points to degree d polynomial?

For a line, $a_1 x + a_0 = mx + b$ contains points $(1,3)$ and $(2,4)$.

$$\begin{aligned} P(1) = m(1) + b &\equiv m + b \equiv 3 \pmod 5 \\ P(2) = m(2) + b &\equiv 2m + b \equiv 4 \pmod 5 \end{aligned}$$

Subtract first from second..

$$\begin{aligned} m + b &\equiv 3 \pmod 5 \\ m &\equiv 1 \pmod 5 \end{aligned}$$

Backsolve: $b \equiv 2 \pmod 5$. Secret is 2.

And the line is...

$$x + 2 \mod 5.$$

Quadratic

For a quadratic polynomial, $a_2x^2 + a_1x + a_0$ hits $(1,2);(2,4);(3,0)$.
Plug in points to find equations.

$$\begin{aligned} P(1) = a_2 + a_1 + a_0 &\equiv 2 \pmod 5 \\ P(2) = 4a_2 + 2a_1 + a_0 &\equiv 4 \pmod 5 \\ P(3) = 4a_2 + 3a_1 + a_0 &\equiv 0 \pmod 5 \end{aligned}$$

$$\begin{aligned} a_2 + a_1 + a_0 &\equiv 2 \pmod 5 \\ 3a_1 + 2a_0 &\equiv 1 \pmod 5 \\ 4a_1 + 2a_0 &\equiv 2 \pmod 5 \end{aligned}$$

Subtracting 2nd from 3rd yields: $a_1 = 1$.
$a_0 = (2 - 4(a_1))2^{-1} = (-2)(2^{-1}) = (3)(3) = 9 \equiv 4 \pmod 5$
$a_2 = 2 - 1 - 4 \equiv 2 \pmod 5$.

So polynomial is $2x^2 + 1x + 4 \pmod 5$

In general..

Given points: $(x_1, y_1); (x_2, y_2) \cdots (x_k, y_k)$.

Solve...

$$
\begin{aligned}
a_{k-1}x_1^{k-1} + \cdots + a_0 &\equiv y_1 \pmod{p} \\
a_{k-1}x_2^{k-1} + \cdots + a_0 &\equiv y_2 \pmod{p} \\
&\cdot \\
&\cdot \\
a_{k-1}x_k^{k-1} + \cdots + a_0 &\equiv y_k \pmod{p}
\end{aligned}
$$

Will this always work?

As long as solution **exists** and it is **unique!** And...

Modular Arithmetic Fact: Exactly 1 degree $\leq d$ polynomial with arithmetic modulo prime p contains $d+1$ pts.

Another Construction: Interpolation!

For a quadratic, $a_2x^2 + a_1x + a_0$ hits $(1,3);(2,4);(3,0)$.

Find $\Delta_1(x)$ polynomial contains $(1,1);(2,0);(3,0)$.

Try $(x-2)(x-3) \pmod 5$.

Value is 0 at 2 and 3. Value is 2 at 1. Not 1! Doh!!
So "Divide by 2" or multiply by 3.
$\Delta_1(x) = (x-2)(x-3)(3) \pmod 5$ contains $(1,1);(2,0);(3,0)$.

$\Delta_2(x) = (x-1)(x-3)(4) \pmod 5$ contains (1,0);(2,1);(3,0).

$\Delta_3(x) = (x-1)(x-2)(3) \pmod 5$ contains (1,0);(2,0);(3,1).

But wanted to hit $(1,3);(2,4);(3,0)$!

$P(x) = 3\Delta_1(x) + 4\Delta_2(x) + 0\Delta_3(x)$ works.

Same as before?

...after a lot of calculations... $P(x) = 2x^2 + 1x + 4 \mod 5$.

The same as before!

We will work with polynomials with arithmetic modulo p.

Delta Polynomials: Concept.

For set of x-values, $x_1, \ldots, x_{d+1}$.

$$\Delta_i(x) = \begin{cases} 1, & \text{if } x = x_i. \\ 0, & \text{if } x = x_j \text{ for } j \neq i. \\ ?, & \text{otherwise.} \end{cases} \tag{1}$$

Given $d+1$ points, use Δ_i functions to go through points?
$(x_1, y_1), \ldots, (x_{d+1}, y_{d+1})$.

Will $y_1 \Delta_1(x)$ contain (x_1, y_1)?

Will $y_2 \Delta_2(x)$ contain (x_2, y_2)?

Does $y_1 \Delta_1(x) + y_2 \Delta_2(x)$ contain
(x_1, y_1)? and (x_2, y_2)?

See the idea? Function that contains all points?

$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) \ldots + y_{d+1} \Delta_{d+1}(x)$.

There exists a polynomial...

Modular Arithmetic Fact: Exactly 1 degree $\leq d$ polynomial with arithmetic modulo prime p contains $d+1$ pts.

Proof of at least one polynomial:
Given points: $(x_1, y_1); (x_2, y_2) \cdots (x_{d+1}, y_{d+1})$.

$$\Delta_i(x) = \frac{\prod_{j \neq i}(x - x_j)}{\prod_{j \neq i}(x_i - x_j)}.$$

Numerator is 0 at $x_j \neq x_i$.

Denominator makes it 1 at x_i.

And..

$$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) + \cdots + y_{d+1} \Delta_{d+1}(x).$$

hits points $(x_1, y_1); (x_2, y_2) \cdots (x_{d+1}, y_{d+1})$. Degree d polynomial!

Construction proves the existence of a polynomial!

Example.

$$\Delta_i(x) = \frac{\prod_{j \neq i}(x - x_j)}{\prod_{j \neq i}(x_i - x_j)}.$$

Degree 1 polynomial, $P(x)$, that contains $(1,3)$ and $(3,4)$?

Work modulo 5.

$\Delta_1(x)$ contains $(1,1)$ and $(3,0)$.

$$\Delta_1(x) = \frac{(x-3)}{1-3} = \frac{x-3}{-2} \\ = 2(x-3) = 2x - 6 = 2x + 4 \pmod 5.$$

For a quadratic, $a_2x^2 + a_1x + a_0$ hits $(1,3);(2,4);(3,0)$.

Work modulo 5.

Find $\Delta_1(x)$ polynomial contains $(1,1);(2,0);(3,0)$.

$$\Delta_1(x) = \frac{(x-2)(x-3)}{(1-2)(1-3)} = \frac{(x-2)(x-3)}{2} = 3(x-2)(x-3) \\ = 3x^2 + 3 \pmod 5$$

Put the delta functions together.

In general.

Given points: $(x_1, y_1); (x_2, y_2) \cdots (x_k, y_k)$.

$$\Delta_i(x) = \frac{\prod_{j \neq i}(x - x_j)}{\prod_{j \neq i}(x_i - x_j)}.$$

Numerator is 0 at $x_j \neq x_i$.

Denominator makes it 1 at x_i.

And..

$$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) + \cdots + y_k \Delta_k(x).$$

hits points $(x_1, y_1); (x_2, y_2) \cdots (x_k, y_k)$.

Construction proves the existence of the polynomial!

Uniqueness.

Uniqueness Fact. At most one degree d polynomial hits $d+1$ points.

Proof:

Roots fact: Any degree d polynomial has at most d roots.

Assume two different polynomials $Q(x)$ and $P(x)$ hit the points.

$R(x) = Q(x) - P(x)$ has $d+1$ roots and is degree d.
Contradiction.

$\square$

Must prove **Roots fact.**

Polynomial Division.

Divide $4x^2 - 3x + 2$ by $(x-3)$ modulo 5.

```
                4 x + 4 r 4
         -----------------
x - 3 )  4x^2 - 3 x + 2
         4x^2 - 2x
         ----------
                 4x + 2
                 4x - 2
                 -------
                      4
```

$4x^2 - 3x + 2 \equiv (x-3)(4x+4) + 4 \pmod 5$

In general, divide $P(x)$ by $(x-a)$ gives $Q(x)$ and remainder r.

That is, $P(x) = (x-a)Q(x) + r$

Only d roots.

Lemma 1: $P(x)$ has root a iff $P(x)/(x-a)$ has remainder 0:
$P(x) = (x-a)Q(x)$.

Proof: $P(x) = (x-a)Q(x) + r$.
Plugin a: $P(a) = r$.
It is a root if and only if $r = 0$.

□

Lemma 2: $P(x)$ has d roots; $r_1, \ldots, r_d$ then
$P(x) = c(x-r_1)(x-r_2)\cdots(x-r_d)$.
Proof Sketch: By induction.

Induction Step: $P(x) = (x-r_1)Q(x)$ by Lemma 1. $Q(x)$ has smaller degree so use the induction hypothesis. □

$d+1$ roots implies degree is at least $d+1$.

Roots fact: Any degree d polynomial has at most d roots.

Finite Fields

Proof works for reals, rationals, and complex numbers.

..but not for integers, since no multiplicative inverses.

Arithmetic modulo a prime p has multiplicative inverses..

..and has only a finite number of elements.

Good for computer science.

Arithmetic modulo a prime m is a **finite field** denoted by F_m or $GF(m)$.

Intuitively, a field is a set with operations corresponding to addition, multiplication, and division.

Secret Sharing

Modular Arithmetic Fact: Exactly one polynomial degree $\leq d$ over $GF(p)$, $P(x)$, that hits $d+1$ points.

Shamir's k out of n Scheme:
Secret $s \in \{0,\ldots,p-1\}$

1. Choose $a_0 = s$, and randomly $a_1,\ldots,a_{k-1}$.
2. Let $P(x) = a_{k-1}x^{k-1} + a_{k-2}x^{k-2} + \cdots a_0$ with $a_0 = s$.
3. Share i is point $(i, P(i) \mod p)$.

Roubustness: Any k knows secret.
Knowing k pts, only one $P(x)$, evaluate $P(0)$.
Secrecy: Any $k-1$ knows nothing.
Knowing $\leq k-1$ pts, any $P(0)$ is possible.

Minimality.

Need $p > n$ to hand out n shares: $P(1) \ldots P(n)$.

For b-bit secret, must choose a prime $p > 2^b$.

Theorem: There is always a prime between n and $2n$.

Working over numbers within 1 bit of secret size. **Minimality.**

With k shares, reconstruct polynomial, $P(x)$.

With $k-1$ shares, any of p values possible for $P(0)$!

(Almost) any b-bit string possible!

(Almost) the same as what is missing: one $P(i)$.

Runtime.

Runtime: polynomial in k, n, and $\log p$.

1. Evaluate degree $k-1$ polynomial n times using $\log p$-bit numbers.
2. Reconstruct secret by solving system of k equations using $\log p$-bit arithmetic.

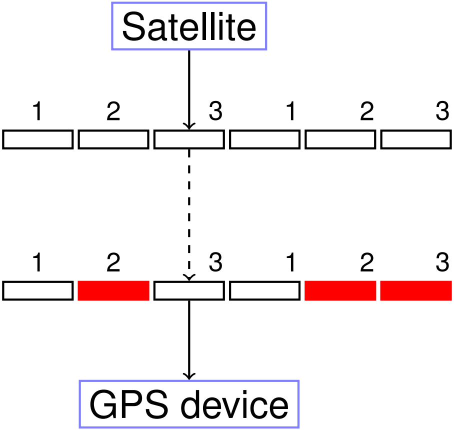
A bit more counting.

What is the number of degree d polynomials over $GF(m)$?

- m^{d+1}: $d+1$ coefficients from $\{0,\ldots,m-1\}$.
- m^{d+1}: $d+1$ points with y-values from $\{0,\ldots,m-1\}$

Infinite number for reals, rationals, complex numbers!

Erasure Codes.



3 packet message. So send 6!

Lose 3 out 6 packets.

Gets packets 1,1,and 3.

Solution Idea.

n packet message, channel that loses k packets.

Must send $n+k$ packets!

Any n packets should allow reconstruction of n packet message.

Any n point values allow reconstruction of degree $n-1$ polynomial.

Alright!!!!!!

Use polynomials.

Problem: Want to send a message with n packets.

Channel: Lossy channel: loses k packets.

Question: Can you send $n+k$ packets and recover message?

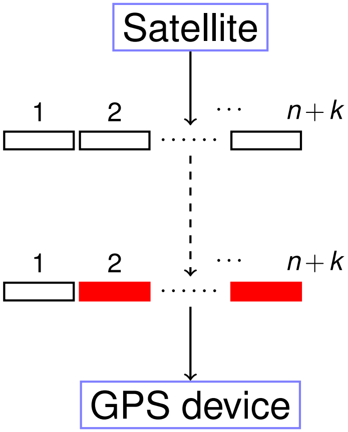
A degree $n-1$ polynomial determined by any n points!

Erasure Coding Scheme: message = $m_0, m_2, \ldots, m_{n-1}$.

1. Choose prime $p \approx 2^b$ for packet size b.
2. $P(x) = m_{n-1}x^{n-1} + \cdots m_0 \pmod{p}$.
3. Send $P(1), \ldots, P(n+k)$.

Any n of the $n+k$ packets gives polynomial ...and message!

Erasure Codes.



n packet message. So send $n+k$!

Lose k packets.

Any n packets is enough!

n packet message.

Optimal.

Information Theory.

Size: Can choose a prime between 2^{b-1} and 2^b.
(Lose at most 1 bit per packet.)

But: packets need label for x value.

There are Galois Fields $GF(2^n)$ where one loses nothing.

– Can also run the Fast Fourier Transform.

In practice, $O(n)$ operations with almost the same redundancy.

Comparison with Secret Sharing: information content.

Secret Sharing: each share is size of whole secret.
Coding: Each packet has size $1/n$ of the whole message.

Erasure Code: Example.

Send message of 1,4, and 4.

Make polynomial with $P(1) = 1$, $P(2) = 4$, $P(3) = 4$.

How?

Lagrange Interpolation.
Linear System.

Work modulo 5.

$P(x) = x^2 \pmod 5$
$P(1) = 1, P(2) = 4, P(3) = 9 = 4 \pmod 5$

Send $(0, P(0)) \ldots (5, P(5))$.

6 points. Better work modulo 7 at least!

Why? $(0, P(0)) = (5, P(5)) \pmod 5$

Example

Make polynomial with $P(1) = 1$, $P(2) = 4$, $P(3) = 4$.

Modulo 7 to accommodate at least 6 packets.

Linear equations:

$$\begin{aligned} P(1) = a_2 + a_1 + a_0 &\equiv 1 \pmod 7 \\ P(2) = 4a_2 + 2a_1 + a_0 &\equiv 4 \pmod 7 \\ P(3) = 2a_2 + 3a_1 + a_0 &\equiv 4 \pmod 7 \end{aligned}$$

$6a_1 + 3a_0 = 2 \pmod 7$, $5a_1 + 4a_0 = 0 \pmod 7$

$a_1 = 2a_0$. $a_0 = 2 \pmod 7$ $a_1 = 4 \pmod 7$ $a_2 = 2 \pmod 7$

$P(x) = 2x^2 + 4x + 2$

$P(1) = 1$, $P(2) = 4$, and $P(3) = 4$

Send
Packets: $(1,1),(2,4),(3,4),(4,7),(5,2),(6,0)$

Notice that packets contain “x-values”.

Bad reception!

Send: $(1,1),(2,4),(3,4),(4,7),(5,2),(6,0)$

Recieve: (1,1) (3,4), (6,0)
Reconstruct?

Format: $(i,R(i))$.

Lagrange or linear equations.

$$\begin{aligned} P(1) = a_2 + a_1 + a_0 &\equiv 1 \pmod 7 \\ P(2) = 4a_2 + 2a_1 + a_0 &\equiv 4 \pmod 7 \\ P(6) = 2a_2 + 3a_1 + a_0 &\equiv 0 \pmod 7 \end{aligned}$$

Channeling Sahai ...

$P(x) = 2x^2 + 4x + 2$

Message? $P(1) = 1, P(2) = 4, P(3) = 4$.

Questions for Review

You want to encode a secret consisting of 1,4,4.

How big should modulus be?

Larger than 144 and prime!

You want to send a message consisting of packets 1,4,2,3,0

through a noisy channel that loses 3 packets.

How big should modulus be?

Larger than 8 and prime!

Send n packets b-bit packets, with k errors.

Modulus should be larger than $n+k$ and also larger than 2^b.

Polynomials.

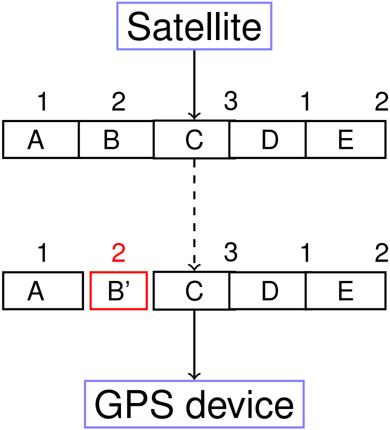
- ..give Secret Sharing.
- ..give Erasure Codes.

Error Correction:

Noisy Channel: corrupts k packets. (rather than loss.)

Additional Challenge: Finding which packets are corrupt.

Error Correction



3 packet message. Send 5.

Corrupts 1 packets.

The Scheme.

Problem: Communicate n packets $m_1, \ldots, m_n$
on noisy channel that corrupts $\leq k$ packets.

Reed-Solomon Code:

1. Make a polynomial, $P(x)$ of degree $n-1$,
   that encodes message.
   - $P(1) = m_1, \ldots, P(n) = m_n$.
   - Comment: could encode with packets as coefficients.
2. Send $P(1), \ldots, P(n+2k)$.

After noisy channel: Recieve values $R(1), \ldots, R(n+2k)$.

Properties:
(1) $P(i) = R(i)$ for at least $n+k$ points i,
(2) $P(x)$ is unique degree $n-1$ polynomial
that contains $\geq n+k$ received points.

Properties: proof.

$P(x)$: degree $n-1$ polynomial.
Send $P(1),\ldots,P(n+2k)$
Receive $R(1),\ldots,R(n+2k)$
At most k i's where $P(i) \neq R(i)$.

Properties:

(1) $P(i) = R(i)$ for at least $n+k$ points i,
(2) $P(x)$ is unique degree $n-1$ polynomial
that contains $\geq n+k$ received points.

Proof:

(1) Sure. Only k corruptions.
(2) Degree $n-1$ polynomial $Q(x)$ consistent with $n+k$ points.
$Q(x)$ agrees with $R(i)$, $n+k$ times.
$P(x)$ agrees with $R(i)$, $n+k$ times.

Total points contained by both	: $2n+2k$.	P	Pigeons.
Total points to choose from	: $n+2k$.	H	Holes.
Points contained by both	: $\geq n$.	$\geq P-H$	Collisions.

$\implies Q(i) = P(i)$ at n points.
$\implies Q(x) = P(x)$. □

Example.

Message: $3, 0, 6$.

Reed Solomon Code: $P(x) = x^2 + x + 1 \pmod 7$ has $P(1) = 3, P(2) = 0, P(3) = 6$ modulo 7.

Send: $P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3$.

(Aside: Message in plain text!)

Receive $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$.

$P(i) = R(i)$ for $n + k = 3 + 1 = 4$ points.

Slow solution.

Brute Force:
For each subset of $n+k$ points
  Fit degree $n-1$ polynomial, $Q(x)$, to n of them.
  Check if consistent with $n+k$ of the total points.
  If yes, output $Q(x)$.

- For subset of $n+k$ pts where $R(i) = P(i)$, method will reconstruct $P(x)$!
- For any subset of $n+k$ pts,
  1. there is unique degree $n-1$ polynomial $Q(x)$ that fits n of them
  2. and where $Q(x)$ is consistent with $n+k$ points
     $\implies P(x) = Q(x)$.

Reconstructs $P(x)$ and only $P(x)$!!

Example.

Received $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find $P(x) = p_2x^2 + p_1x + p_0$ that contains $n + k = 3 + 1$ points.

All equations..

$$\begin{aligned}
p_2 + p_1 + p_0 &\equiv 3 \pmod 7 \\
4p_2 + 2p_1 + p_0 &\equiv 1 \pmod 7 \\
2p_2 + 3p_1 + p_0 &\equiv 6 \pmod 7 \\
2p_2 + 4p_1 + p_0 &\equiv 0 \pmod 7 \\
1p_2 + 5p_1 + p_0 &\equiv 3 \pmod 7
\end{aligned}$$

Assume point 1 is wrong and solve..no consistent solution!
Assume point 2 is wrong and solve...consistent solution!

In general..

$P(x) = p_{n-1}x^{n-1} + \cdots p_0$ and receive $R(1), \ldots R(m = n + 2k)$.

$$
\begin{aligned}
p_{n-1} + \cdots p_0 &\equiv R(1) \pmod p \\
p_{n-1}2^{n-1} + \cdots p_0 &\equiv R(2) \pmod p \\
&\cdot \\
p_{n-1}i^{n-1} + \cdots p_0 &\equiv R(i) \pmod p \\
&\cdot \\
p_{n-1}(m)^{n-1} + \cdots p_0 &\equiv R(m) \pmod p
\end{aligned}
$$

Error!! .... Where???
Could be anywhere!!! ...so try everywhere.
Runtime: $\binom{n+2k}{k}$ possibilitities.

Something like $(n/k)^k$ ...Exponential in k!.

How do we find where the bad packets are efficiently?!?!?!

Ditty...

Where oh where can my bad packets be ...

On Tuesday.